## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67940

Masaki KURIHARA, et al.

Appln. No.: 10/035,137

Allowed: May 17, 2004

Confirmation No.: 3139

Group Art Unit: 1752

Filed: January 04, 2002

Examiner: John Chu

**FAX RECEIVED** 

DEC 2 8 2004

For: POSITIVE PHOTORESIST COMPOSITION

**OFFICE OF PETITIONS** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP ISSUE FEE
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

(TUE) 12, 28' 04 15:56/ST. 15:52/NO. 4200000743 P 12

FROM SUGHRUE, MION

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/035,137

Attorney Docket No. Q67940

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Bruce E. Kramer / Ly Rogelf Luchf Bruce E. Kramer Registration No. 33,725

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 28, 2004

2

## INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/035,137

Attorney Docket No. Q67940

#### CERTIFICATION OF FACSIMILE TRANSMISSION

Sir:

I hereby certify that the above identified correspondence is being facsimile transmitted to Petitions Branch at the Patent and Trademark Office on December 28, 2004, at (571)273-0025.

Respectfully submitted,

Bruse E. Kramer by Joseph Ruch f Bruce E. Kramer Ry No. 24,57?

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Respectfully submitted,

Bruce E. Kramer by Joseph Ruelf Bruce E. Kramer RyNo. 26,57?

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					ication Number	10/035,137
INFORMATION DISCLOSURE					rmation Number	3139
STATEMENT BY APPLICANT				Filing	g Date	January 04, 2002
					Named Inventor	Masaki KURIHARA
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			Exam	iner Name	John Chu	
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			U.S. P.	ATENT DOCUM	IENTS	
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Initials*			Kind Code <sup>2</sup>	MM-DD-VVVV	Name of Patente	e or Applicant of Cited Document

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Initials*		Number	Kind Code <sup>1</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentec or Applicant of Cited Document	
		US 5,652,081	A	07-29-1997	Tan et al.	
		US 5,738,968	A	04-14-1998	Hosoda et al.	CAY DECEIVED
		US 5,985,507	A	11-16-1999	Blakeney et al.	FAX RECEIVED
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JР	6-167805	A	06-14-1994	SUMITOMO CHEM CO LTD	ABSTRACT
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Examiner Initials*	xaminer Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,				
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<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.